

B R O W N R A Y S M A N

BROWN RAYSMAN MILLSTEIN FELDER & STEINER

RECEIVED
CENTRAL FAX CENTER

OCT 27 2004

FACSIMILE COVER SHEET

From: Frank J. DeRosa Date: October 27, 2004
Direct Dial: 212-895-2010 Client/Matter #: 3524/14

PLEASE DELIVER AS SOON AS POSSIBLE TO:

	Recipient	Company	Fax No.	Phone No.
1.	Examiner Sandra S. Snapp	USPTO – TC 3600 Group Art Unit 3624	703.872.9306	703.305-6940

Total number of pages including this page: .
If you do not receive all the pages, please call **(212) 895-2000**.

Message:

Please Note: the information contained in this facsimile message is privileged and confidential, and is intended only for use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with transmission, please notify sender or the mail room by telephone: (212) 895-2000. Thank You.

BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP
900 THIRD AVE NY NY 10022 T 212-895-2000 F 212 895-2900 brownraysman.com

PTO/SB/21 (02-04)

Approved for use through 3/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/584,045

Filing Date

May 30, 2000

First Named Inventor

Andrew Hausman

Art Unit

3624

Examiner Name

Sandra S. Snapp

Attorney Docket Number

3524/14

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
 Fee Attached
 Amendment/Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation
 Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____

- After Allowance communication to Technology Center (TC)
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):
 Certificate of Transmission under 37 CFR 1.8

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frank J. DeRosa, Reg. No. 26,543
Signature	
Date	October 27, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Frank J. DeRosa, Reg. No. 26,543		
Signature		Date	10/27/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the
United States Patent and Trademark Office

on 10/27/2004

Date



Signature

Frank J. DeRosa

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

1. Transmittal Form
2. Response to July 27, 2004 Office Action

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
CENTRAL FAX CENTER

OCT 27 2004

Patents
3524/014IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew Hausman

Application No.: 09/584,045 Confirmation No. 9896

Filed: May 30, 2000

For: ELECTRONIC TRADING SYSTEM FOR ELECTRICITY
FORWARDS

Examiner: Sandra S. Snapp Group Art Unit: 3624

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450RESPONSE TO JULY 27, 2004 OFFICE ACTION

Sir:

This paper is in response to the July 27, 2004 Office Action.

Please amend the above-identified application as set forth below.

Amendments to the specification begin on page 2 of this paper.

Amendments to the claims are reflected in the Listing of Claims which begins on page 3
of this paper.

Remarks begin on page 13 of this paper.